

Vice Chancellor for Research

November 13, 2024

Re: Delegation of Authority - Inventions, Patents, and Innovation Transfer

Pursuant to the [Presidential Policy on Inventions, Patents, and Innovation Transfer](#) (IP Policy), I am delegating to your role as Vice Chancellor for Research the authority regarding exemptions and exceptions to the IP Policy, which became effective July 1, 2024, and supersedes the 1997 Presidential Patent Policy. As noted in the IP Policy:

A. Patent/IP Acknowledgment. ... Campus Chancellors, the Vice President, Agriculture and Natural Resources, Laboratory Director and their delegates may authorize exemptions and exceptions from signing the [Patent/IP Acknowledgment](#), provided that such exemptions or exceptions are consistent with other University policies, applicable laws, and third-party obligations.

D. Exceptions. In special circumstances, it may be in the best interests of the University to enter into agreements that require exceptions to this policy. Campus Chancellors, the Vice President, Agriculture and Natural Resources, Laboratory Director and their delegates may authorize such exceptions in accordance with other University policies, applicable laws, and third-party obligations.

Effective immediately, I delegate to you the authority to approve exemptions and exceptions from this requirement. Such exemptions or exceptions must be consistent with all other University policies, applicable laws, and third-party obligations. Exception requests must be submitted to the Office of Technology Licensing using the Request/Approval for Exception to the Policy on Inventions, Patents, and Innovation Transfer form.

This authority may be redelegated. Any redelegation of authority shall be in writing, with a copy to the Campus Delegations of Authority Coordinator. If you have any questions or concerns, please reach out to delegations@berkeley.edu.

Sincerely,



Richard K. Lyons

cc: David Robinson – Chief Campus Counsel
Jaime Jue – Director, Audit and Advisory Services
Andrea Whipple-Samuel – Compliance & Policy Specialist, Delegations of Authority
Coordinator

